

**ABANDONMENT WORKSHEET**

Application No. 11-11-11  
Attorney or Applicant Name: \_\_\_\_\_  
Telephone Number \_\_\_\_\_

<input checked="" type="checkbox"/>	Date of 1 <sup>st</sup> call	<u>10-7-11</u>
<input type="checkbox"/>	Left Message	_____
<input type="checkbox"/>	No answer...call back	_____
<input type="checkbox"/>	Date of 2 <sup>nd</sup> Call	_____

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- ☐ Express Abandonment. Forward to 0220 immediately.
- ☐ Retention. Forward to 0220 immediately.
- ☐ Applicant does not have an attorney.
- ☐ Applicant has an attorney.
- ☐ If there is no attorney -- Call Applicant.
  
- ☐ Telephone service is disconnected. A new number was not available.
- ☐ Telephone number has changed.
- ☐ New telephone number is \_\_\_\_\_
- ☐ Called the new telephone number.
  
- ☐ Attorney no longer represents the applicant.
- ☐ New Attorney has been assigned to this application.
- ☐ Contacted New Attorney:
- ☐ New Attorney: Name: \_\_\_\_\_  
Telephone: \_\_\_\_\_

**Abandon this Application (A copy of the RAM fee sheet must be enclosed)**

- ☐ Sent for Abandonment \_\_\_\_\_
- ☐ Application should be abandoned as instructed by Attorney or Applicant
- ☐ \_\_\_\_\_  
Name of person who requested PTO to abandon the application

**Do Not Abandon this Application (A copy of the RAM fee sheet must be enclosed)**

- ☐ Petition to revive. Forward to 0220 immediately.
- ☐ Attorney did not receive Missing Parts Notice. Attorney will fax copy of docketing information.
- ☒ Response received on \_\_\_\_\_ (See PTO mail stamp.) Response is in the application.
- ☐ Application is being forwarded to JCWS formalities review for processing.
- ☐ Check RAM. RAM inquiry indicates a response was received. Requested applicant attorney to fax response to me. Response enclosed.
- ☐ Awaiting call from Attorney
- ☐ Review of this application was completed by \_\_\_\_\_ Print your name



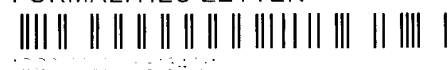
## UNITED STATES PATENT AND TRADEMARK OFFICE

 DIVISION OF NEW PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE

09 764,634	01 18 2001	Gunter Schwab	SCHWAB ET AL
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CONFIRMATION NO. 8133

## FORMALITIES LETTER


 COLLARD & ROE, P.C.  
 1077 Northern Boulevard  
 Roslyn, NY 11576-1696

Date Mailed: 03 01 2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(c) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



S.F. 1, L.R.  
: 11

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: SCHWAB ET AL 3  
SERIAL NO: 09/764,634 GROUP: 1765  
FILED: JANUARY 18, 2001  
TITLE: PROCESS FOR PRODUCING A SEMICONDUCTOR WAFER

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

SUBMISSION OF DECLARATION AND SUBSTITUTE SPECIFICATION  
SUBMISSION OF PRIORITY DOCUMENT  
SUBMISSION OF INFORMATION DISCLOSURE STATEMENT  
OF DR. WOLFGANG STAUDACHER  
and  
SUBMISSION OF ASSIGNMENT FOR RECORDAL

ATTN: BOX MISSING PARTS  
Ass't. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted", dated MARCH 1, 2001 attached hereto is the requested executed DECLARATION, attached to the complete patent application which is to be considered a Substitute Specification, along with a check in the amount of \$134.00 to cover the surcharge for the late filing of the Declaration for a large entity.

An Assignment, along with the required cover sheet, is also attached.

Applicants herewith claim the benefit of priority of their earlier-filed application under the International Convention in accordance with 35 U.S.C. 119. Submitted herewith is a certified copy of the German application having the Serial No. 100 92 354.1 bearing the filing date of JANUARY 20, 2000.

It is hereby requested that receipt of the priority document be acknowledged by the Patent Office.

Applicants wish to bring to the attention of the Patent Examiner the attached Information Disclosure Statement, duly signed by Dr. Wolfgang Standacher, and the six (6) references listed on the enclosed Form PTO-1449 and attached thereto.

The relevance of these references is that they are cited in the present patent application and in the Office Action of the German Patent and Trademark Office and may be material to the examination of the application.

It is respectfully requested that this Information Disclosure Statement be considered and placed into the application file.

Since this Information Disclosure Statement is being filed prior to a first Office Action, it is believed that no fee is due. However, if it is determined that a fee is due, the Commissioner is hereby authorized to charge, or to credit any over payment, to our

Deposit Account Number 03-2468.

Respectfully submitted,

GUNTER SCHWAB ET AL 3

By: 

Allison C. Collard, Reg. No. 22,532  
Edward F. Freedman, Reg. No. 26,048  
Attorneys for Applicants

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

Encls:

1. Copy of "Notice to File Missing Parts ..."
2. Check in the amount of \$130.00
3. Executed Declaration attached to Substitute Specification
4. Assignment with required cover sheet with authorization to charge Deposit Account
5. German Priority Document 104 02 354.1
6. Information Disclosure Statement of Dr. Wolfgang Staudacher
7. PTO form 1449 with copies of 6 references
8. Office Action of the German Patent and Trademark Office

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on March 26, 2001.

